

**Office of Financial Regulation**  
**Division of Consumer Finance**  
**FORM OFR-559-FTS-007, Disciplinary Guidelines for Financial Technology Sandbox Innovator**

<b>Violation #</b>	<b>Statute or Code</b>	<b>Short Description</b>	<b>1st Citation</b>	<b>2nd Citation</b>	<b>3rd Citation</b>
1	Section 559.952(6)(b)1.	Failure to provide the consumer a written statement; obtain acknowledgement by the consumer; or retain the written statement and/or acknowledgement; for the duration of the sandbox period.	Suspension: A Revocation	Suspension: B Revocation	Suspension: C Revocation
2	Section 559.952(8)	Failure to timely and accurately submit to the Office a Bi-Annual Report.	Suspension: A Revocation	Suspension: B Revocation	Suspension: C Revocation
3	Section 559.952(10)(b)1.a.	Failure to comply with section 559.952, F.S., and Rule Chapter 69V-559, F.A.C.	Suspension: A Revocation	Suspension: B Revocation	Suspension: C Revocation
4	Section 559.952(10)(b)1.b.	A fact or condition exists that, if it had existed or become known at the time that the Financial Technology Sandbox application was pending, would have warranted denial of the application or the impositions of material conditions.	Revocation	Revocation	Revocation